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Article

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# An assessment of Indian monsoon seasonal forecasts and mechanisms underlying monsoon interannual variability in the Met Office GloSea5-GC2 system

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**Abstract** We assess Indian summer monsoon seasonal forecasts in GloSea5-GC2, the Met Office fully coupled subseasonal to seasonal ensemble forecasting system. Using several metrics, GloSea5-GC2 shows similar skill to other state-of-the-art forecast systems. The prediction skill of the large-scale South Asian monsoon circulation is higher than that of Indian monsoon rainfall. Using multiple linear regression analysis we evaluate relationships between Indian monsoon rainfall and five possible drivers of monsoon interannual variability. Over the time period studied (1992-2011), the El Niño Southern Oscillation (ENSO) and the Indian Ocean dipole (IOD) are the most important of these drivers in both observations and GloSea5-GC2. Our analysis indicates that ENSO and its teleconnection with the Indian rainfall are well represented in GloSea5-GC2. However, the relationship between the IOD and Indian rainfall anomalies is too weak in GloSea5-GC2, which may be limiting the prediction skill of the local monsoon circulation and Indian rainfall. We show that this weak relationship likely results from a coupled mean state bias that limits the impact of anomalous wind forcing on SST variability, resulting in erroneous IOD sst anomalies. Known difficulties in representing convective precipitation over India may also play a role.

Since Indian rainfall responds weakly to the IOD, it responds more consistently to ENSO than in observations. Our assessment identifies specific coupled biases that are likely limiting GloSea5-GC2 prediction skill, providing targets for model improvement.

**Keywords** Indian monsoon, seasonal forecasting, Indian Ocean dipole

## 1 Introduction

Analysis of intraseasonal and interannual modes of Indian summer monsoon rainfall variability suggests that there is a significant seasonally persisting component of Indian monsoon rainfall anomalies forced by slowly varying boundary conditions (Charney and Shukla, 1981; Krishnamurthy and Shukla, 2000, 2007). For variability in boundary conditions to be a useful source of seasonal predictability, anomalies must be large and persistent, they must interact with monsoon rainfall through a consistent physical mechanism and the response of monsoon rainfall must be large enough to distinguish from the intrinsic variability of the atmosphere (Kang and Shukla, 2006). Studies have investigated the predictability gained from many sources, including modes of sea surface temperature (SST) variability, variability of soil moisture and interannual variability of snow cover (e.g. Palmer and Anderson, 1994; Goddard et al, 2001).

For the Indian summer monsoon, the most significant and well known source of predictability is the El Niño-Southern Oscillation (ENSO, e.g. Shukla and Paolino, 1983). A developing El Niño event warms SSTs in the east Pacific, shifting the Walker circulation such that anomalous subsidence occurs over the Maritime

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Continent and Indian Ocean, reducing monsoon rain-  
fall. A developing La Niña event has the opposite effect  
(e.g. Webster and Yang, 1992; Ju and Slingo, 1995).  
Recent work suggests that the zonal location of the  
warm SSTs alters the strength of the relationship by  
altering the location of the anomalous subsidence. Cen-  
tral Pacific El Niño events are consequently more likely  
to strongly suppress monsoon rainfall than east Pacific  
El Niño events (Krishna Kumar et al, 2006).

Another important known source of predictability  
is the the Indian Ocean dipole (IOD, also known as  
the Indian Ocean Zonal mode). The IOD is a coupled  
mode of SST variability in the equatorial Indian ocean  
analogous to ENSO in many ways. In a positive IOD  
event, anomalous easterlies develop in spring off the  
coast of Sumatra which increase upwelling, shoal the  
thermocline and create cool SST anomalies that ex-  
tend into the eastern equatorial Indian Ocean (EEIO).  
These are often accompanied by warm SST anoma-  
lies in the western equatorial Indian Ocean (WEIO).  
This changes the zonal equatorial SST gradient, and  
consequently reinforces equatorial zonal easterly wind  
anomalies. An IOD event continues to develop through  
July and August and peaks in the autumn (Saji et al,  
1999; Webster et al, 1999; Annamalai et al, 2003). Us-  
ing an atmospheric GCM (AGCM), Ashok et al (2001)  
demonstrated that a positive IOD event drives anoma-  
lous low-level atmospheric convergence in the WEIO  
and divergence in the EEIO that strengthens the South  
Asian monsoon circulation, increasing rainfall over In-  
dia.

Kucharski et al (2007, 2008) identify a component  
of Indian monsoon interannual variability that is forced  
by the Atlantic Niño, an ENSO-like mode of SST vari-  
ability in the southeastern tropical Atlantic. Atlantic  
Niño SST anomalies extend from the Angola coast to  
the Gulf of Guinea in spring and summer (Chang et al,  
2006). Using AGCM experiments, Kucharski et al (2007;  
2008) demonstrate that cool SSTs (Atlantic Niña) drive  
a stationary wave response that creates a low-level cy-  
clone over India, bringing increased moisture to India  
and increasing seasonal monsoon precipitation.

Many studies have explored the role of snow over  
Asia in driving monsoon rainfall interannual variabil-  
ity (see references in Fasullo, 2004). Sensitivity experi-  
ments in atmospheric GCMs (Turner and Slingo, 2011)  
and the ECMWF seasonal forecast system 4 (Senan  
et al, 2015), demonstrate a mechanism linking snow  
over the Himalayas and Tibetan Plateau (HimTP) with  
the timing and intensity of the Indian monsoon. They  
show that increased snow cover over the HimTP in  
spring and summer reduces surface sensible and long-  
wave heating as proposed by Blanford (1884), which

delays the onset of the monsoon and significantly re-  
duces monsoon rainfall in June. As HimTP snow cover  
decreases rapidly through the spring and early summer,  
interannual snow variability has little impact on rainfall  
variability later in the monsoon season.

Despite these many sources of predictability, Indian  
monsoon rainfall prediction skill is modest in state-of-  
the-art coupled seasonal prediction systems (Kim et al,  
2012; Rajeevan et al, 2012; Nanjundiah et al, 2013).  
The DEMETER sample of six seasonal forecast sys-  
tems had a multimodel mean interannual correlation  
skill of 0.28 ( $p > 0.1$ ) over 1960-2001. The more recent  
ENSEMBLES sample, which uses updated versions of  
the DEMETER systems, improved to 0.45 ( $p < 0.05$ )  
over the slightly longer time period of 1960-2005. Mean  
state biases in boundary conditions, poor representa-  
tion of coupled teleconnections with monsoon rainfall,  
large ensemble spread and the lack of seasonal pre-  
dictability of intraseasonal variability are some of the  
challenges that face monsoon seasonal prediction (Sper-  
ber et al, 2000; Krishnamurthy and Shukla, 2007; Kim  
et al, 2012; Rajeevan et al, 2012; Sperber et al, 2013).

Here, we assess Indian summer monsoon seasonal  
forecasts in GloSea5-GC2, the Met Office fully cou-  
pled subseasonal to seasonal ensemble forecasting sys-  
tem. We assess the representation of the tropical mean  
state, the prediction skill of monsoon rainfall (all In-  
dia rainfall, AIR) and representation of relationships  
between monsoon rainfall and ENSO, the IOD, the At-  
lantic Niño and HimTP snow cover. In this publica-  
tion we focus on the interannual variability of monsoon  
rainfall; a future publication will focus on intraseasonal  
variability (Jayakumar et al, 2016).

In Section 2 we describe the forecast system, the in-  
tegrations analysed and our analysis techniques. In Sec-  
tion 3 we describe the global properties of the forecast  
system, including mean state biases and maps of en-  
semble signal-to-noise ratios. In Section 4 we assess the  
interannual prediction skill of Indian summer monsoon  
rainfall. In Section 5 we use multiple regression analy-  
sis to assess the representation of relationships between  
AIR and sources of predictability. Where the regression  
analysis indicates these relationships are poorly repre-  
sented, we explore the mechanisms behind these rela-  
tionships in more detail, to determine the source of the  
errors. We conclude in Section 6.

## 2 Methodology

### 2.1 GloSea5-GC2

Full details of the GloSea5-GC2 configuration are de-  
scribed in Williams et al (2015), so we limit our descrip-

tion here to a brief introduction of the component mod-  
els. GloSea5-GC2 uses the MetUM global atmosphere  
6.0 (GA6.0) configuration at N216 resolution ( $0.833^\circ \times 216$   
 $0.556^\circ$ ) with 85 vertical levels (Walters et al, 2015). It  
includes a stochastic physics scheme, Stochastic Kinetic  
Energy backscatterv2 (SKEB2, Bowler et al, 2009), to  
represent unresolved stochasticity. SKEB2 introduces  
small grid-level perturbations throughout the integra-  
tions to create ensemble spread. The global land 6.0  
(GL6.0) configuration of JULES (Best et al, 2011; Wal-  
ters et al, 2015) with four vertical soil levels is “tightly  
coupled” to the MetUM: integrated on the MetUM grid  
as part of the same executable. The MetUM is cou-  
pled on a three-hourly time scale to ocean and sea ice  
models using the OASIS3 coupler (Valcke, 2013). The  
global ocean 5.0 (GO5.0) configuration of the Nucleus  
for European Modelling of the Ocean (NEMO) model  
is integrated on the ORCA  $0.25^\circ$  tripolar grid with 75  
vertical levels. The level thickness is a double tanh func-  
tion of depth such that the level spacing increases from  
1 m near the surface to 200 m at 6000 m (Megann  
et al, 2014). The global sea ice 6.0 configuration of the  
Los Alamos sea ice model (CICE) is tightly coupled to  
NEMO on the NEMO grid (Rae et al, 2015; Megann  
et al, 2014) and integrated with five sea-ice thickness  
categories.

## 2.2 Hindcast set

The hindcast set we assess here is composed differently  
than the ensemble used for operational seasonal fore-  
casts and from the hindcasts used to bias correct the op-  
erational forecast. For comparison, we describe the op-  
erational forecast system before describing the dataset  
we use here.

In the operational forecast system, two seasonal fore-  
cast ensemble members are initialised every day and  
integrated for 210 days. Three weeks of ensemble mem-  
bers are combined to create the operational seasonal  
forecast, a total of 42 ensemble members in each fore-  
cast. These are bias corrected using a 14 year (1996–  
2009), three ensemble member hindcast set initialised  
on the 1, 9, 17 and 25th of each month. The four nearest  
weeks of hindcasts, a total of 12 ensemble members, are  
weighted, combined, and then used to bias correct the  
forecasts. The GloSea5-GC2 operational forecast sys-  
tem is fully described in MacLachlan et al (2015).

The hindcast set in this study contains 20 years of  
hindcasts, spanning 1992 to 2011, which are initialised  
on three start dates, 25 April, 1 May and 9 May. They  
are integrated for 140 days, ending on 11, 17 and 25  
September. To assess seasonal monsoon rainfall, we val-  
idate JJA values, leaving a forecast lead time of ap-

proximately one month. For years 1992 through 1995,  
2010 and 2011 eight ensemble members are initialized  
on each start date, resulting in 24 members for each  
hindcast year. For 1996 through 2009, five ensemble  
members are initialized on each start date, resulting  
in 15 members for each hindcast year.

The MetUM and JULES are initialised from daily  
ERA-Interim reanalysis (gridded to  $0.75^\circ \times 0.75^\circ$ , Dee  
et al, 2011). JULES soil moisture is initialised from a  
JULES re-analysis climatological seasonal cycle of soil  
moisture calculated (1989 to 2011). NEMO and CICE  
are initialised from the GloSea5 Ocean and Sea ice anal-  
ysis using the GloSea5 global ocean 3.0 system (here-  
after referred to as the GloSea5-GO3 analysis), which is  
driven by ERA-Interim reanalysis and incorporated us-  
ing the NEMOVAR data assimilation scheme (Blockley  
et al, 2014). NEMOVAR is based on NEMO and CICE  
using the same resolution and similar parametrisations  
as the forecast model configurations (Mogensen et al,  
2009).

A climatological seasonal cycle of solar forcing is  
prescribed. Climate forcings such as  $\text{CO}_2$  are set to ob-  
served values until the year 2005, and subsequently fol-  
low the Intergovernmental Panel on Climate Change  
RCP4.5 scenarios. Other aerosols are updated every  
five days and use a climatological seasonal cycle derived  
from previous versions of the MetUM. Ozone concentra-  
tions are updated every 30 days and are set to the ob-  
servational climatology of the Stratosphere-troposphere  
Processes And their Role in Climate (SPARC, Cionni  
et al, 2011) dataset (1994 to 2005). Further details are  
described in MacLachlan et al (2015) and Williams et al  
(2015).

## 2.3 Analysis techniques

### 2.3.1 Multiple linear regression analysis

To assess relationships between Indian rainfall and slowly  
varying boundary conditions, we perform multiple lin-  
ear regression analysis. We use the “regress” function  
in IDL8.2 (modified version of “regres” in Bevington,  
1969), which uses all independent variables to minimise  
the overall residual and give the best fit. We assess  
goodness of fit using the coefficient of determination,  
or  $R^2$ , value. In the case of a perfect fit,  $R^2 = 1$ ; in the  
case of no relationship,  $R^2 = 0$ . In addition to the re-  
gression coefficients (the slopes of the regression lines)  
we analyse the standard error of the regression fit. The  
standard error is the sampling error in the regression  
coefficient assuming the data is normally distributed  
about the fit.

### 2.3.2 Forward selection of parameters

To diagnose the relative importance of independent variables in our multiple regression analysis, we use forward selection (Wilks, 2006). First, a single linear regression is calculated between the dependent variable and each independent variable in turn. The independent variable with the highest  $R^2$  is noted. Then a two-parameter regression is calculated using this independent variable and each of the remaining independent variables in turn. The regression with the highest  $R^2$  is kept and so on, until all independent variables have been included in the fit. The change in the  $R^2$  value as each independent variable is added to the regression indicates the importance of each of the independent variables to the final regression.

### 2.3.3 Samples of ensemble members

To validate GloSea5-GC2 against observations, it is crucial that we do not solely analyse the ensemble mean. Observations contain chaotic noise as well as variability forced by slowly varying components of the climate system (e.g. Palmer and Anderson, 1994; Goddard et al., 2001). Ensemble averaging reduces noise, reducing the total atmospheric variability and increasing the relative contribution of forced variability to the total variability. To accurately compare GloSea5-GC2 variability to observed variability and to reduce the risk of mistaking noise in observations for forced variability, we must compare individual ensemble members from the hindcast set to observations. To accomplish this we repeat our statistical calculations, such as the regression analysis in Section 5, on many samples of ensemble members and compare a distribution of the resulting values, such as regression coefficients, to a single observed value.

In this article, most metrics require a twenty year JJA time series from the hindcast set. We create many JJA time series for our statistical calculations by combining different ensemble members from different years. Ensemble members with the same start date are initialised identically, so any combination ensemble members with the same start date can be used.

The first step is to create five time series for each of the start dates by randomly sampling ensemble members with the same start date from each hindcast year without replacement. In years with five members for each start date, each of the five ensemble members is used in one of these time series. In years with eight ensemble members for each start date, five of the eight members are used in these five time series. There are three start dates in the hindcast set, so this process results in 15 time series. We then repeat this process

$N$  times. We raised  $N$  until raising it further did not change the results, to  $N = 2000$ , creating  $3 \times 10^4$  JJA time series which we refer to as “hindcast samples.” In these samples, every ensemble member in the years with five ensemble members for each start date is used an equal number of times. In the years with eight ensemble members for each start date, each individual member is used fewer times and it is also possible that some members are used more than others. Given the large value of  $N$  we would not expect this to affect our results.

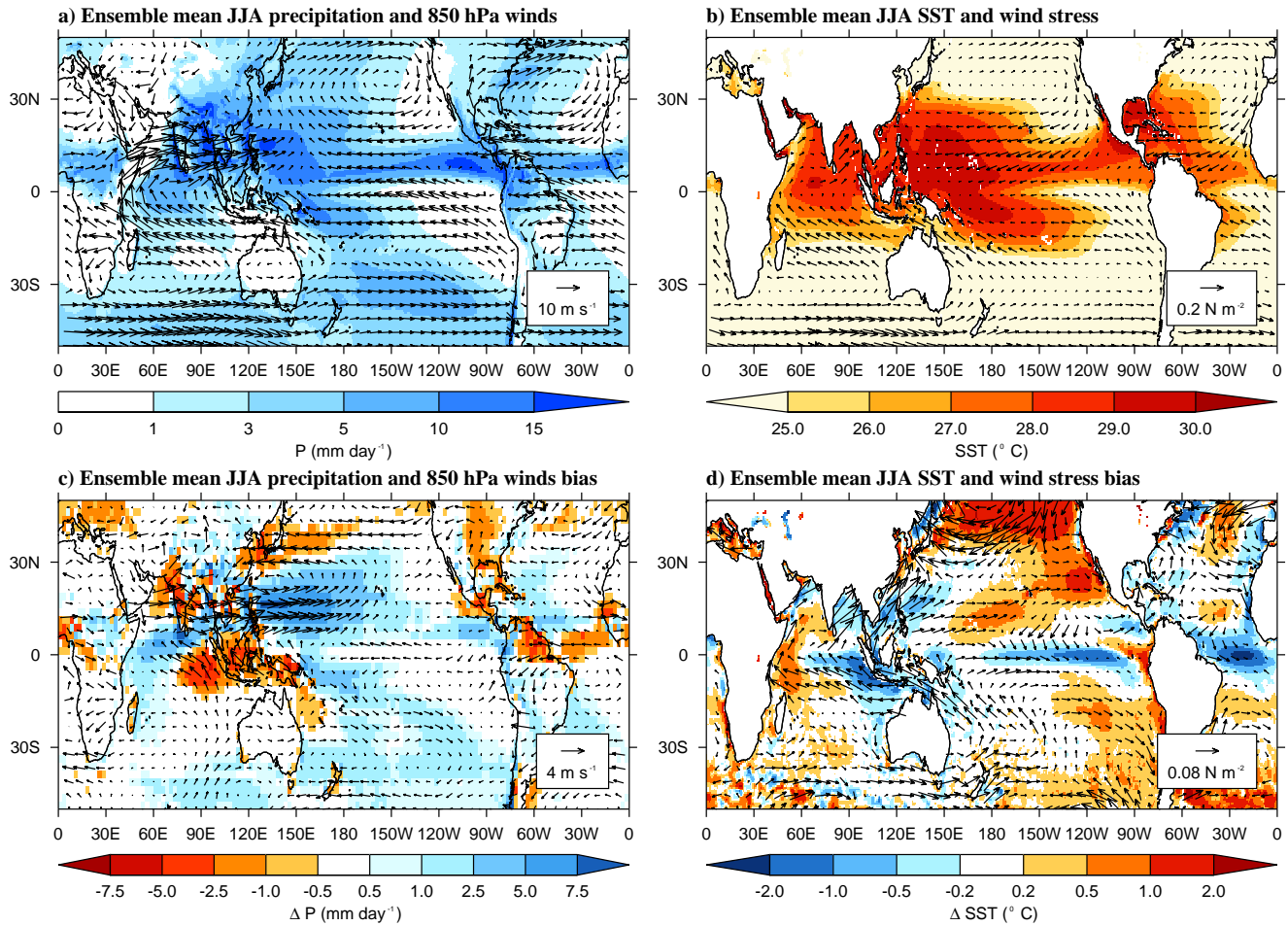
## 2.4 Observational and reanalysis datasets

To assess precipitation we use the Global Precipitation Climatology Project (GPCP) Version 2.2 Monthly Precipitation Analysis (Adler et al, 2003). GPCP is a  $2.5^\circ$  gridded merged analysis that incorporates precipitation estimates from low-orbit satellite microwave data, geostationary satellite infrared data and surface rain gauge observations. GloSea5-GC2 data are bilinearly interpolated to the GPCP grid for comparison.

We assess winds using the European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim atmospheric reanalysis product gridded to  $0.70 \times 0.70^\circ$  (Dee et al, 2011). Fields were interpolated to the MetUM grid and compared on equivalent pressure levels. We assess snow using snow water equivalent (snow mass) from ERA-Interim/Land, a global land surface reanalysis dataset driven by ERA-Interim (Balsamo et al, 2015), which is also interpolated to the MetUM grid for comparison.

SST is assessed using the GloSea5-GO3 analysis used to initialise the NEMO ocean model, as described in Section 2.2, interpolated to the MetUM grid. The ocean temperature profile is assessed using the EN4.1.1 analyses ( $1^\circ \times 1^\circ$ , Good et al, 2013). This analysis includes ocean temperature and salinity profiles from many sources, including the Global Temperature and Salinity Profile Program and the Argo dataset, which are quality controlled before creating the analysis. An updated version of the Gouretski and Reseghetti (2010) bias correction is then applied. Profiles are compared on their native levels.

All fields are compared over 1992 to 2011. In the rest of this paper, when a combination of observations and reanalysis are used to validate the model they will be collectively referred to as “observations.”



**Fig. 1** Ensemble mean JJA (a) precipitation and 850 hPa winds in GloSea5-GC2, (b) SST and surface wind stress in GloSea5-GC2, (c) precipitation and 850 hPa winds bias with respect to GPCP and ERA-Interim, (d) SST and surface wind stress bias with respect to GloSea5-GO3 analysis and ERA-Interim.

### 3 Forecast system global performance

#### 3.1 Ensemble mean bias

The GloSea5-GC2 ensemble mean JJA precipitation and 850 hPa winds are shown in Figure 1a alongside their bias with respect to GPCP and ERA-Interim in Figure 1c. Precipitation biases in the Indo-Pacific are similar to those seen in the CMIP5 models (Sperber et al, 2013) and state-of-the-art seasonal forecast systems (Rajeevan et al, 2012; Kim et al, 2012), with excess precipitation over the WEIO and western north Pacific and a deficit of precipitation over India, the Maritime Continent and the EEIO. The deficit of precipitation over India (AIR deficit of  $0.72 \text{ mm day}^{-1}$ ) is largely due to a climatologically late onset of the monsoon in GloSea5-GC2, which reduces the precipitation over and around India in May and June. Precipitation is similar to the observed climatology in July and August (not shown). Monsoon westerlies, which

extend from the Arabian Peninsula across the Indian and Indochina peninsulas, are overly strong in GloSea5-GC2, in contrast to the CMIP5 multi-model mean weak bias (Sperber et al, 2013). This is likely associated with the overly strong precipitation and convergence in the western north Pacific in GloSea5-GC2 and a smaller Arabian Sea cold bias than is generally seen in the CMIP5 models (Levine et al, 2013). The well documented Arabian Sea cold SST bias in coupled GCMs tends to weaken the monsoon circulation and monsoon precipitation, but initialisation in May prevents the growth of a large bias (Levine and Turner, 2012; Levine et al, 2013, personal communication R. Levine). The excess precipitation bias in the western north Pacific seen in GloSea5-GC2 is also associated with the cyclonic wind bias over the western north Pacific and east Asia (Bush et al, 2015).

GloSea5-GC2 JJA SST and wind stress are shown alongside their biases in Figure 1b and Figure 1d. The eastern side of each ocean basin shows an equatorial

cold bias. Equatorial cold biases are common in coupled models (e.g. Li and Xie, 2012, 2014) and seasonal forecast systems (Kim et al, 2012; Vanniere et al, 2013) especially in the Pacific. GloSea5-GC2 also has a cold SST bias associated with the western north Pacific excess precipitation bias and a warm bias in the western Indian Ocean opposite the cold bias in the EEIO. Large wind stress biases are associated with many of the cold SST biases in the warm pool region, including the EEIO, Bay of Bengal, South China Sea and western north Pacific. We address how these Indian Ocean biases may be impacting the monsoon rainfall forecast skill in Section 5.2.2.

### 3.2 Ensemble spread

To quantify the ensemble spread in the forecast system, we calculate the signal-to-noise ratio ( $S/N$ ) of JJA anomalies, defined as the ratio of the variance of the ensemble mean anomaly time series to the average variance of the ensemble member anomalies in each year (Rowell et al, 1995; Kang and Shukla, 2006). If  $S/N > 1$  then the interannual variability in the ensemble mean is greater than the average ensemble spread. In Figure 2 we show  $S/N$  maps for JJA precipitation and zonal vertical wind shear (850-200 hPa), which is a diagnostic of the large-scale monsoon circulation related to the strength of the monsoon diabatic heating (Gill, 1980; Webster and Yang, 1992). In both metrics, there is lower  $S/N$  in the Indian Ocean than in the other ocean basins. JJA precipitation  $S/N > 1$  is confined to the equatorial Pacific and Maritime Continent, indicating that the precipitation anomalies most directly forced by ENSO SST anomalies have the highest  $S/N$ .

$S/N$  can also be expressed as a theoretical limit on the correlation skill, using the expression  $R_{\text{limit}} = \sqrt{\frac{S/N}{S/N+1}}$  (Kang and Shukla, 2006). A  $R_{\text{limit}} = 0.5$  contour is shown on both panels of Figure 2. The precipitation  $R_{\text{limit}}$  exceeds 0.5 over most of the equatorial oceans and the circulation  $R_{\text{limit}}$  exceed 0.5 throughout the tropics. This indicates that the  $S/N$  of GloSea5-GC2 is high enough to permit precipitation and circulation correlation skill greater than 0.5 over much of the tropics.

### 3.3 Anomaly correlations

To assess the global forecast skill, in Figure 3 we show the grid point anomaly correlations of GPCP JJA precipitation and the ERA-Interim vertical wind shear with their GloSea5-GC2 ensemble mean equivalents. In both fields, significant skill (0.44,  $p < 0.05$ ) is restricted to

the tropics, consistent with other state-of-the-art seasonal forecasting systems (Kim et al, 2012). Precipitation prediction skill is lower than circulation prediction skill. In both circulation and precipitation, the lowest skill in the tropics is located in the Indian Ocean, suggesting difficulties in seasonal prediction of the South Asian monsoon system. In the next section we examine the prediction skill of Indian monsoon precipitation and the South Asian monsoon circulation in detail.

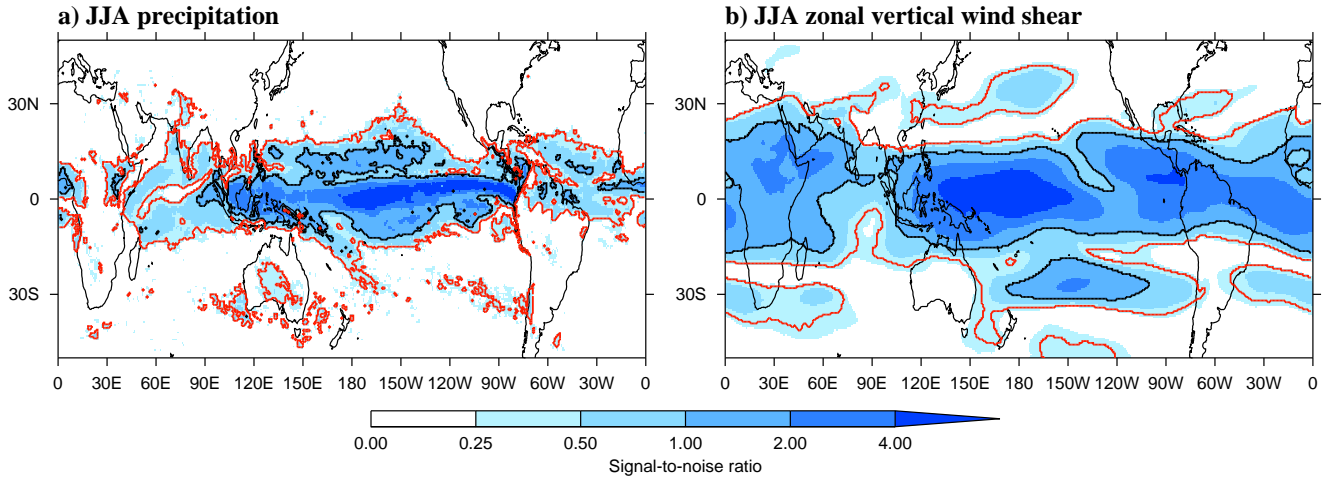
## 4 Indian summer monsoon forecast skill

JJA AIR is a commonly used measure of seasonal monsoon rainfall (e.g. Rajeevan et al, 2012; Nanjundiah et al, 2013) and is reported in seasonal forecasts issued by the Indian Meteorological Department<sup>1</sup>. The interannual variation of AIR does not necessarily reflect the regional detail of the interannual variation of Indian rainfall (e.g. Ihara et al, 2007), but AIR is convenient for conducting a first-order assessment of monsoon seasonal prediction skill. JJA AIR anomalies in GPCP and GloSea5-GC2 are shown in Figure 4. The box plots represent the minimum, median, maximum and interquartile range of the ensemble, while the diamond represents the ensemble mean. In some years, such as 2008, the forecast is very good, with tight ensemble spread. In other years, such as 1997, all of the ensemble members predict the incorrect sign of the precipitation anomaly. Overall, the ensemble spread is large compared to the size of the anomalies, consistent with the  $S/N$  map in Figure 2a. It is rare that all ensemble members predict anomalies of the same sign.

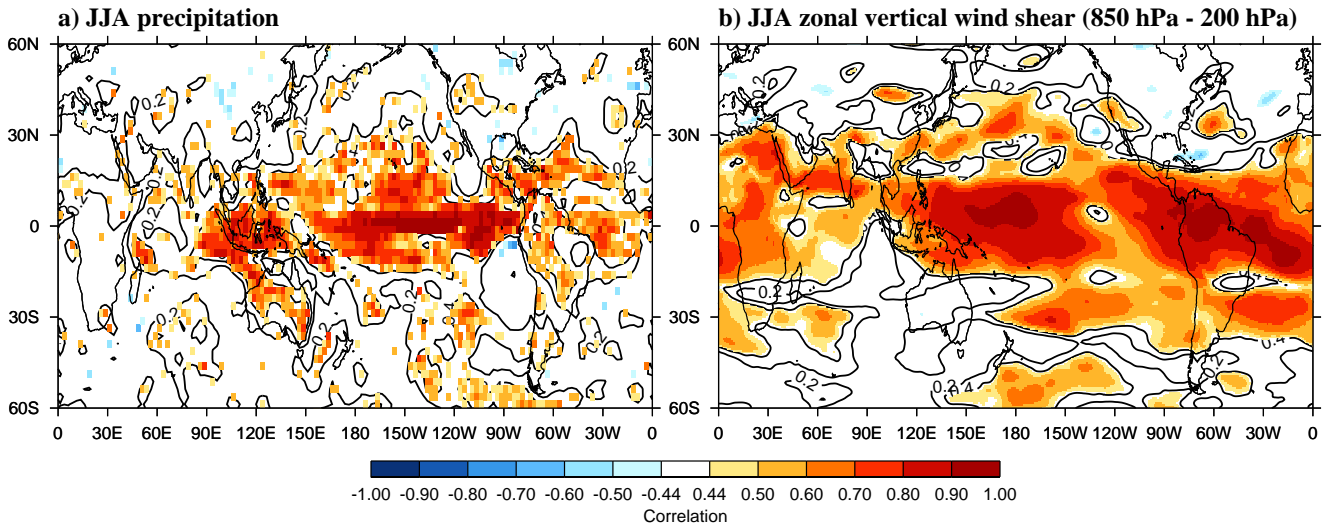
JJA anomalies of the Webster-Yang dynamical index, an index representing the strength of the large-scale monsoon circulation using the vertical zonal wind shear over a large domain (difference between 850 hPa and 200 hPa over 40° to 110°E, 0° to 20°N; Webster and Yang, 1992), are also shown in Figure 4. There is not a one-to-one relationship between correctly predicting Indian precipitation anomalies and correctly predicting the large scale circulation anomalies. In some years, such as 1997, the circulation anomaly is well predicted while the precipitation anomaly is poorly predicted. In other years, such as 1996, the precipitation is well predicted and the circulation is poorly predicted. In GloSea5-GC2, the monsoon circulation and precipitation over India are strongly related, with the ensemble mean correlating at 0.67 ( $p < 0.01$ ). However, in the observations, they are quite unrelated, with a correlation of 0.18 ( $p > 0.1$ ). This indicates precipitation over India is too directly forced by the large scale circulation

<sup>1</sup> [http://www.imd.gov.in/pages/monsoon\\_main.php](http://www.imd.gov.in/pages/monsoon_main.php)





**Fig. 2** Maps of GloSea5-GC2 JJA signal-to-noise ratio (see Section 3.2) for (a) precipitation and (b) zonal vertical wind shear (850 hPa - 200 hPa). A signal-to-noise ratio greater than one is indicated by the dark solid contour. A theoretical correlation limit ( $R_{\text{limit}}$ ) of 0.5 is indicated by the red contour.



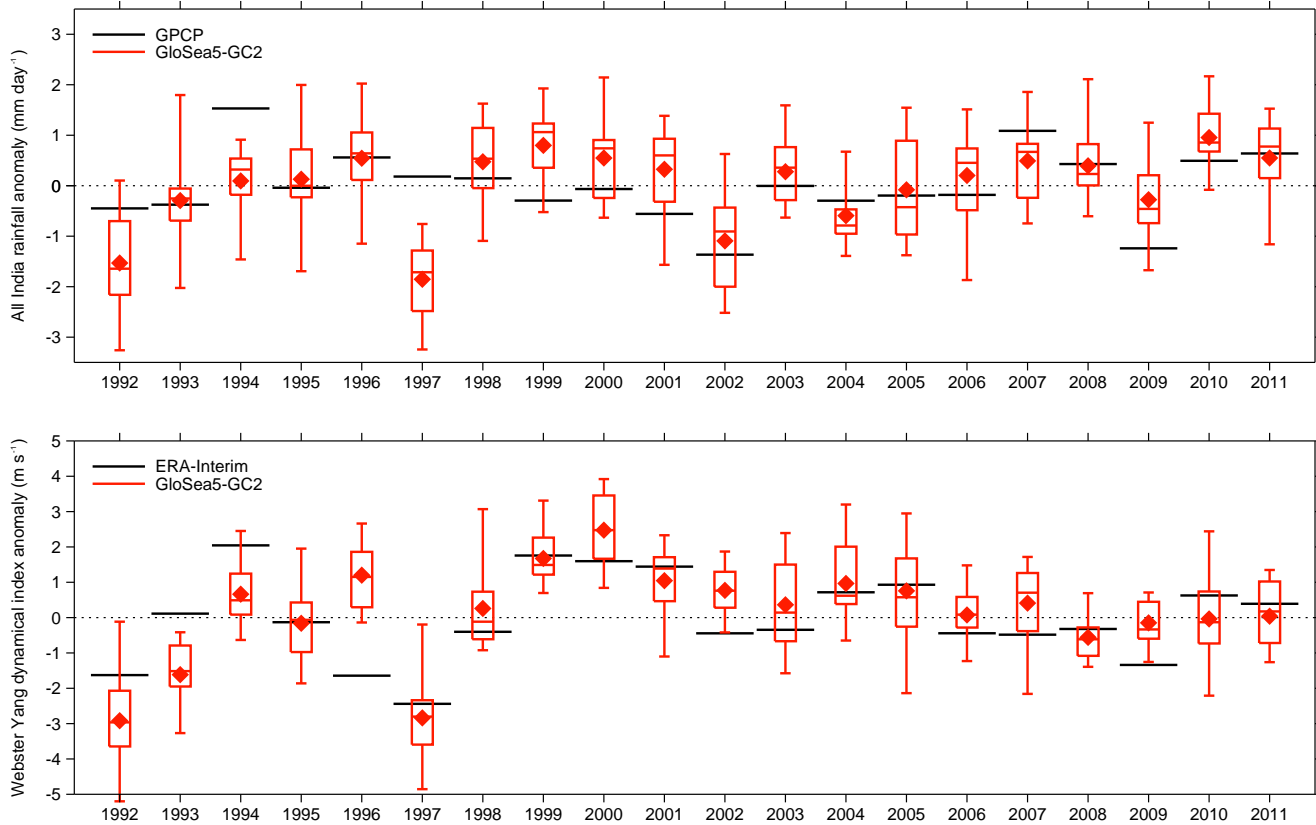
**Fig. 3** Grid-point anomaly correlations of GPCP JJA precipitation and ERA-Interim JJA vertical wind shear with their GloSea5-GC2 ensemble mean equivalents. Significant skill ( $0.44, p < 0.05$ ) is shaded, while lower skill is contoured at 0.2 and 0.4.

in GloSea5-GC2. Ensemble spread in the Webster-Yang index is still large compared to the magnitude of the mean anomaly, but less so than in JJA AIR, consistent with the  $S/N$  maps in Figure 2.

A simple measure of forecast skill is the correlation of observed and ensemble mean anomaly time series, such as those shown in Figure 4. We have listed these correlations in Table 1. The correlation of the GPCP and GloSea5-GC2 ensemble mean JJA AIR anomaly time series is 0.41 ( $p < 0.1$ ). This indicates a modest level of skill, consistent with other forecast systems (Rajeevan et al, 2012). The Wang-Fan dynamical index represents the strength of the local Indian monsoon circulation in the northern Indian Ocean and over India itself using horizontal shear in the 850 hPa zonal winds

(difference between  $40^\circ$  to  $80^\circ\text{E}$ ,  $5^\circ$  to  $15^\circ\text{N}$  and  $70^\circ$  to  $90^\circ\text{E}$ ,  $20^\circ$  to  $30^\circ\text{N}$  Wang and Fan, 1999). The Wang-Fan index shows a very similar correlation value (0.36,  $p > 0.1$ ) to AIR, suggesting modest skill in predicting the local Indian monsoon circulation is related to the modest skill in predicting AIR.

The Webster-Yang dynamical index has a higher correlation of 0.66 ( $p < 0.01$ ). This indicates that the large scale South Asian monsoon circulation is better predicted than the local Indian monsoon circulation and rainfall over India, consistent with the global correlation maps (Figure 3). However, this skill in predicting the Webster-Yang index is lower than that seen over a longer time period (1982-2009) with similar lead times and numbers of ensemble members in CfSv4 (0.74,  $p <$



**Fig. 4** JJA AIR (top) and Webster-Yang dynamical index (bottom) anomalies in GloSea5-GC2 (red), GPCP (top, black) and ERA-Interim (bottom, black). Box plots represent minimum, median, maximum and interquartile ranges of the ensemble, and the red diamond represents the ensemble mean. The Webster-Yang dynamical index subtracts the 850 hPa winds from the 200 hPa winds over 40° to 110°E and 0° to 20°N (Webster and Yang, 1992).

**Table 1** Evaluating the GloSea5-GC2 skill in representing JJA monsoon precipitation and circulation index anomalies (indices defined in the text). Column 1 lists the correlation of observed JJA anomalies with GloSea5-GC2 ensemble mean anomalies. Columns 2 and 3 compare the observed interannual standard deviation ( $\sigma$ ) to the hindcast sample median  $\sigma$  in  $\text{mm day}^{-1}$  (see Figure 5).

	Correlation of ensemble mean	Observations interannual $\sigma$	Hindcast sample median $\sigma$
AIR	0.41	0.69	1.06
Wang-Fan index	0.36	0.66	0.89
Webster-Yang index	0.66	1.21	1.62

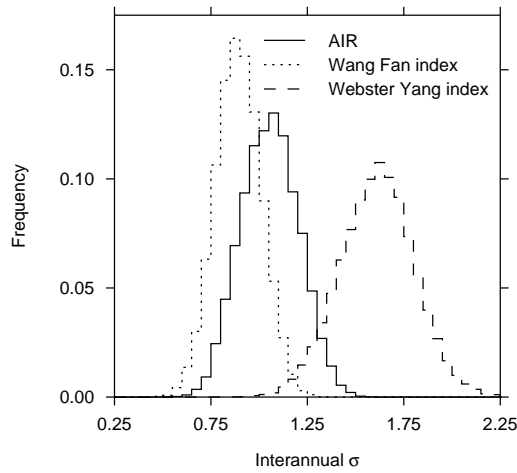
0.01) and ECMWF System 4 (0.78,  $p < 0.01$ , Kim et al., 2012).

To evaluate the interannual variance, we calculate standard deviations ( $\sigma$ ) of the JJA time series of AIR, Wang-Fan dynamical index and Webster-Yang dynamical index. Ensemble averaging enhances the component of interannual variability forced by slowly varying components of the climate system relative to atmospheric noise, likely artificially lowering the interannual variance relative to observations. Accordingly, we do not compare the ensemble mean  $\sigma$  to the observations. Instead we create distributions of  $\sigma$  for each index (Figure 5) using the hindcast samples described in Section 2.3.3 and compare the median to the observed  $\sigma$ .

We find that in all indices, the variance in GloSea5-GC2 is too high, with the observed  $\sigma$  well separated from the hindcast sample distribution. This is consistent with the high ensemble spread seen in Figures 2 and 4.

## 5 Relationship between AIR and drivers of monsoon interannual variability

Slowly evolving boundary conditions such as SST, snow and soil moisture provide sources of tropical rainfall seasonal prediction skill (Charney and Shukla, 1981). In this section, we assess the representation of relationships between AIR and slowly evolving boundary con-



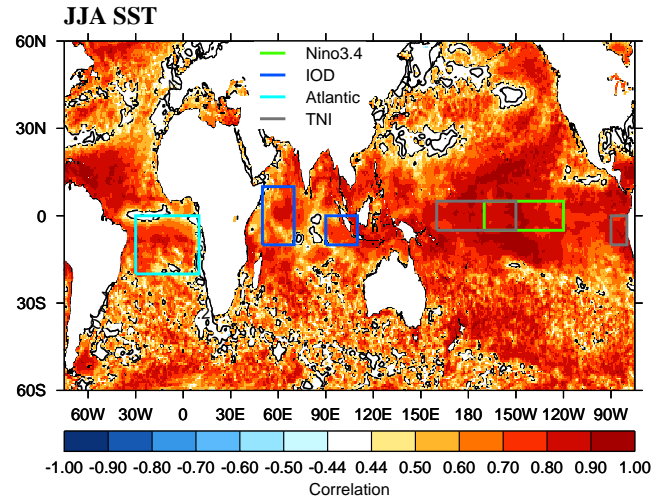
**Fig. 5** Histograms of the standard deviation ( $\sigma$ ) of JJA anomalies of monsoon precipitation and circulation indices in the hindcast sample time series. Medians of these distributions are compared to observed  $\sigma$  in Table 1. The hindcast samples are described in Section 2.3.3.

ditions in GloSea5-GC2. We perform a multiple linear regression analysis of AIR in observations and GloSea5-GC2 using indices representing modes of variability such as ENSO and the IOD as independent variables. We use the regression coefficients as a diagnostic of the relationships and explore sources of error in relationships that are poorly represented. Correcting these errors has potential to improve forecast skill, making them important targets for model development.

### 5.1 Indices

We use five indices of slowly varying boundary conditions in our analysis. Four indices represent three modes of SST variability: ENSO, the IOD and the Atlantic Niño. The final index represents interannual variability in snow mass over the HimTP. Each index has published proposed physical mechanisms that link their interannual variability to interannual variability in AIR (see review in Section 1). Table 2 defines the indices used. JJA anomalies are calculated relative to the time period covered by the hindcast set, 1992 to 2011, and are not standardised.

In Figure 6, regions used to calculate SST indices (Table 2) are overlaid on a JJA interannual correlation map of GloSea5-GO3 analysis SST and GloSea5-GC2 ensemble mean SST. GloSea5-GC2 has much higher prediction skill for SST than it does for precipitation or the circulation (Figure 3). There are significant correlation values across the globe, but the highest values are in the tropics. We use the Niño-3.4 index to represent the overall amplitude of ENSO and a trans-Niño index (TNI), calculated by subtracting the Niño-4 index



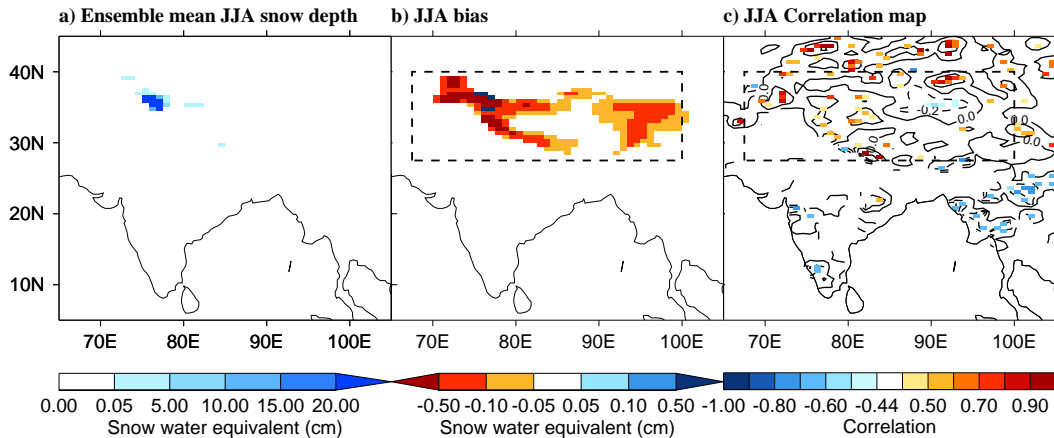
**Fig. 6** Interannual correlation map of GloSea5-GO3 analysis and GloSea5-GC2 ensemble mean JJA SST. Grid points where the correlation is significant ( $0.44, p > 0.05$ ) are shaded, while lower values are contoured. Most correlations are significant. The regions used as indices to represent modes of SST variability are outlined on this figure and listed in Table 2. Note that the Niño-4 region used to calculate the TNI index overlaps with the Niño-3.4 region from  $120^\circ\text{W}$  to  $150^\circ\text{W}$ .

from the Niño-1.2 index, to represent the zonal position of the heating. TNI has a positive value in an east Pacific El Niño, and a negative value in a central Pacific El Niño (Trenberth and Stepaniak, 2001). The IOD is represented by the IOD index (Saji et al, 1999), and the Atlantic Niño is represented by averaging SST anomalies over the region used in Kucharski et al (2007, 2008) (note this is the negative of the index used in Kucharski et al, 2007, 2008). The correlations of the GloSea5-GO3 analysis and the GloSea5-GC2 ensemble mean SST indices are listed in Table 2. All four correlation values are high and significant ( $p < 0.01$ ) and the ENSO indices (Niño-3.4 and the TNI) have the highest values. The GloSea5-GC2 skill in predicting these indices should generate AIR prediction skill if the mechanism linking them is well represented.

Following Turner and Slingo (2011), who showed that snow cover over HimTP is the most relevant to AIR interannual variability, we adopt their HimTP index (Table 2). Figure 7 shows this region, as well as the JJA climatological snow mass over the HimTP in GloSea5-GC2 (Figure 7a), the JJA bias against ERA-Interim/Land (Figure 7b) and the JJA interannual correlation map with ERA-Interim/Land (Figure 7c). In ERA-Interim/Land, not much snow is present in JJA; the climatological HimTP JJA snow depth is only 2.56 cm of snow water equivalent (SWE). However, GloSea5-GC2 is missing 37% of the ERA-Interim/Land snow mass; a bias of -0.96 cm SWE. The correlation map

**Table 2** Definition of JJA indices used as independent variables in the regression analysis, including the quantity and averaging domain. Also listed are the interannual standard deviations ( $\sigma$ ) of the JJA indices in GloSea5-GO3 analysis and ERA-Interim/Land, and the interannual correlation of the indices with the GloSea5-GC2 ensemble mean indices.

Index	Quantity	Domain	Reanalysis $\sigma$	Correlation
Niño-3.4	SST	120° - 170°W, 5°S - 5°N	0.68 (°C)	0.87
IOD	SST	difference between 50° - 70°E, 10°S - 10°N and 90° - 110°E, 10°S - 0°	0.49 (°C)	0.71
ATL	SST	30°W - 10°E, 20°S - 0°	0.40 (°C)	0.79
TNI	SST	difference between 80° - 90°W, 10°S - 0° and 160°E - 150°W, 5°S - 5°N	1.30 (°C)	0.91
HimTP	Snow water equivalent (SWE)	67.5° - 100°E, 27.5° - 40°N	0.07 (cm SWE)	0.46



**Fig. 7** a) Climatological JJA snow depth in GloSea5-GC2. b) JJA bias against ERA-Interim/Land. Also shown, as the dashed line, is the region used to calculate the HimTP index (Table 2). c) JJA interannual correlation map of ERA-Interim/Land and GloSea5-GC2 ensemble mean SWE. Grid points where the correlation is significant (0.44,  $p > 0.05$ ) are shaded, while lower values are contoured at 0.0, 0.2 and 0.4. Most correlations are in this domain insignificant.

shows that the interannual prediction skill of GloSea5-GC2 snow mass in the region is low, though it tends to be higher in the locations with the most snow. The interannual correlation of the HimTP index is 0.46 ( $p < 0.05$ , Table 2), indicating modest skill. Consequently, even if the mechanism linking HimTP snow to AIR is well represented in GloSea5-GC2, HimTP snow may contribute little to the overall prediction skill of AIR.

## 5.2 Regression

To assess the relationship between AIR and the indices listed in Table 2, we perform a five parameter multiple regression analysis with each index included as an independent variable. We first perform this analysis on the observed and ensemble mean indices. However, ensemble averaging enhances the component of interannual variability forced by slowly varying boundary conditions relative to atmospheric noise, so comparing the relationships in the ensemble mean to the relationships in observations is unfair. To make a fair comparison we perform our regression analysis on the many indi-

vidual 20 year JJA series selected from our ensemble members, as described in Section 2.3.3. We use the regression coefficients for each index, the standard error for each coefficient (a measure of uncertainty in the regression coefficient), and the final  $R^2$  value for the fit in our analysis (see Section 2.3.1 for a detailed description of each of these statistics). Performing the regression analysis on the hindcast samples creates a distribution of each statistic, which illustrates the ensemble spread, to compare to the single value from the observations. The median of each distribution is listed in Table 3 with the statistics from the observed and ensemble mean regressions. We also show the hindcast sample distributions for the regression coefficients and the  $R^2$  value in Figure 8.

The ensemble mean  $R^2$  (Table 3) is much higher than the observed  $R^2$ , demonstrating that ensemble averaging enhances the forced component of the variability relative to the noise. In the rest of our analysis, we only compare the statistics from the hindcast samples to the observations. The hindcast sample median  $R^2$  is lower than that of the observations, indicating there could be predictability from these indices that is

unexploited in the GloSea5-GC2 system. However, the observed  $R^2$  value falls well within the  $R^2$  distribution in Figure 8, suggesting the  $R^2$  values of the observations and GloSea5-GC2 are consistent within the ensemble spread in GloSea5-GC2. We will now examine the regression coefficient from each index in turn, as a diagnostic of the relationship between AIR and that index.

### 5.2.1 ENSO

As expected, the observations show a negative regression between Niño-3.4 and AIR in Figure 8, indicating that a positive Niño-3.4 anomaly, i.e. El Niño conditions, reduces AIR. The GloSea5-GC2 hindcast sample peak matches the observed value well, indicating the relationship between AIR and Niño-3.4 is well represented. Regression maps of SST and precipitation on the Niño-3.4 index confirm that the ENSO teleconnections in observations and GloSea5-GC2 hindcasts are spatially very similar (not shown). This is likely the main source of the prediction skill in the Webster-Yang large-scale dynamical index (Figure 4).

The observations show a weak negative relationship between TNI and AIR, suggesting that an East Pacific El Niño decreases AIR more than a central Pacific El Niño, which disagrees with Krishna Kumar et al (2006). However, the regression is weak, with a  $1\sigma$  variation in TNI resulting in a reduction in AIR of  $0.14 \text{ mm day}^{-1}$  (using Tables 2 and 3). There are also only three El Niño years in our hindcast set (JJA Niño-3.4 anomaly  $> 0.5^\circ\text{C}$ ), and one of them is the very large east Pacific El Niño event of 1997, which likely dominates the relationship. Consequently, it is not surprising that the relationship between TNI and AIR is weak over this time period. The hindcast set replicates this weak relationship, with the peak of the distribution aligning with the observed value. This analysis indicates that the relationship between ENSO and AIR is well represented in GloSea5-GC2.

### 5.2.2 Indian Ocean dipole

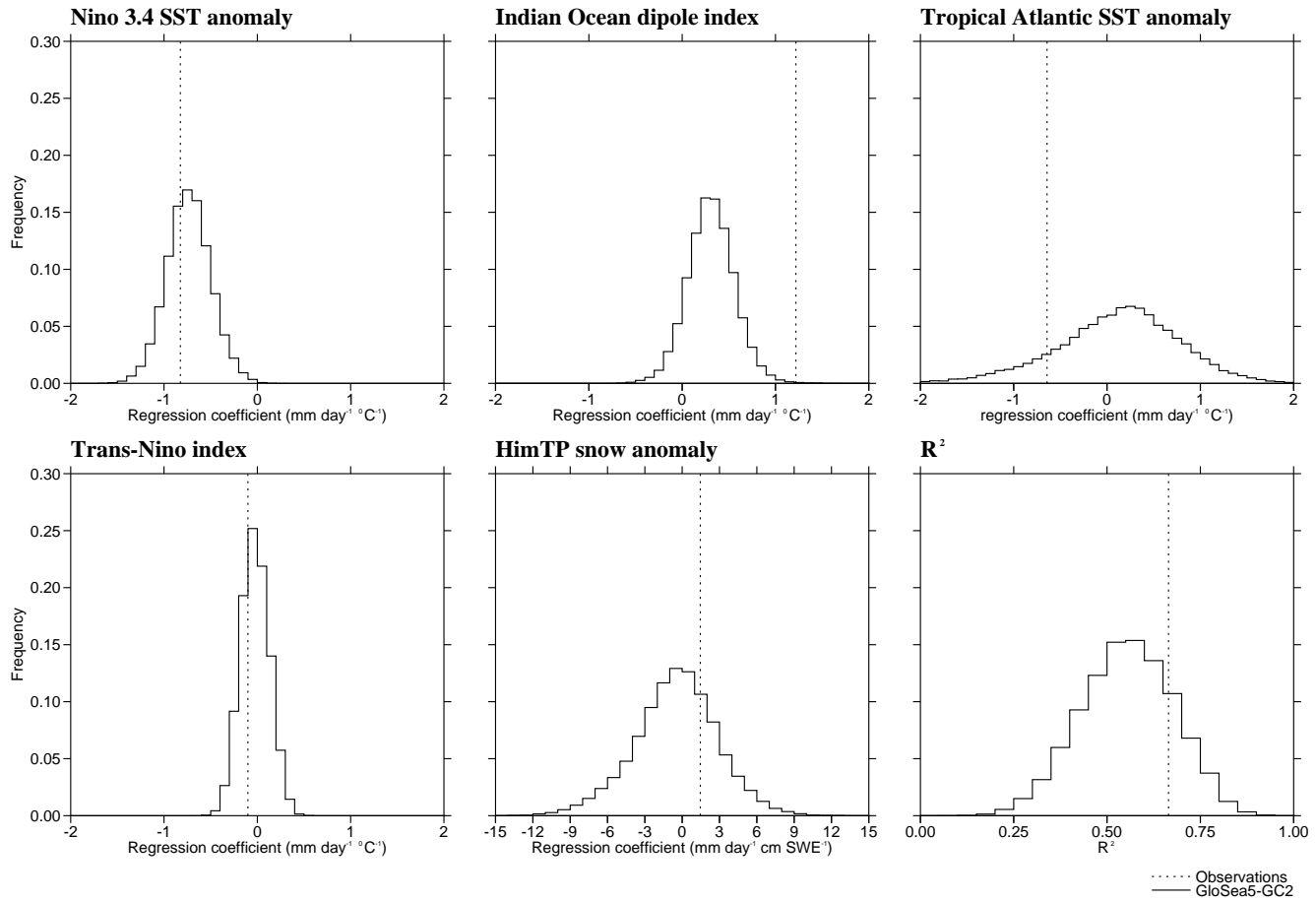
As expected, the observations show a large positive regression between the IOD index and AIR, indicating positive IOD increases AIR. The hindcast samples also show a positive regression, but at a much smaller value and the value derived from observations falls in the extreme tail of the hindcast sample distribution. This suggests the relationship between the IOD and AIR is too weak in GloSea5-GC2.

To confirm this interpretation and diagnose any related errors in GloSea5-GC2, we calculate a multiple

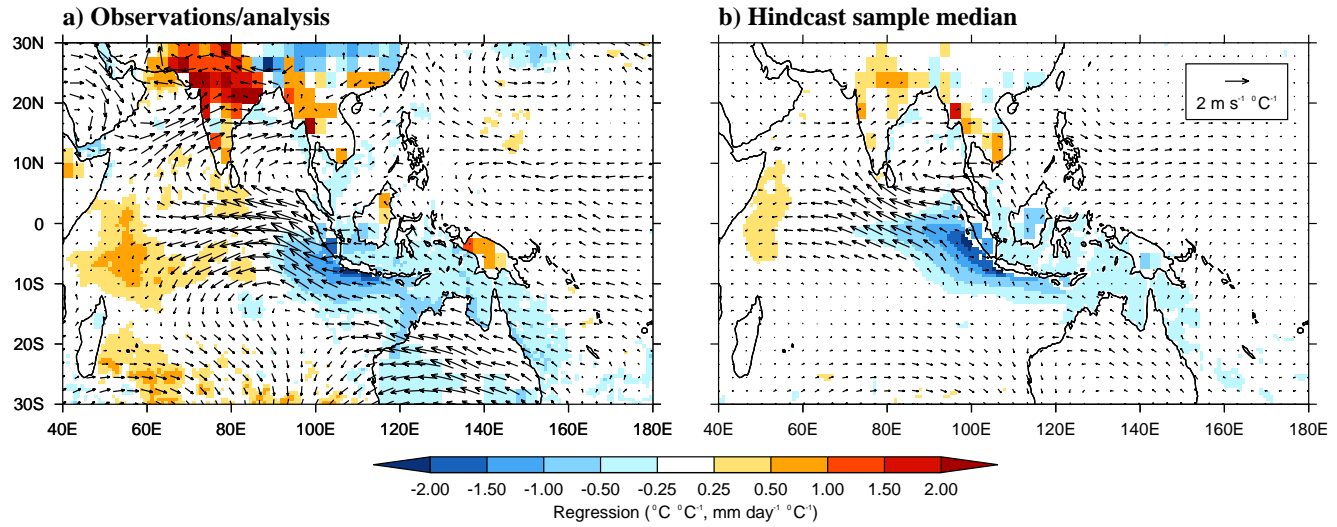
regression with the same independent variables at each grid point in JJA maps of SST, land precipitation and 850 hPa zonal and meridional winds. In Figure 9, the IOD index regression coefficient is shown for the observations, analogous to the dashed line on the IOD panel of Figure 8, and for the hindcast sample median, analogous to the median of the distribution in the IOD panel of Figure 8. In the observations, the expected IOD SST anomalies are clear, with warm anomalies in the WEIO and cool anomalies in the EEIO, especially off the coast of Sumatra and Java (Saji et al, 1999; Webster et al, 1999). The SST anomalies are associated with wind anomalies, including a strengthening of equatorial easterly winds and strengthening of the westerlies across the Arabian Sea, India and Indochina. This brings increased moisture transport to India, increasing monsoon precipitation (Ashok et al, 2001). In GloSea5-GC2, the EEIO anomalies are too cold and extend to  $70^\circ\text{E}$ , too far west. The WEIO SST anomalies are not warm enough, reducing the anomalous zonal SST gradient. The circulation anomalies and Indian precipitation anomaly are also weak.

Using wind stress correction experiments in HiGEM, an older version of the coupled MetUM (Shaffrey et al, 2009), Marathayil (2013) demonstrated that similar errors in IOD SST anomalies were due to a coupled mean state bias in the Indian Ocean. Stronger than observed mean state easterlies in the EEIO, which are related to errors in convective precipitation in the WEIO, lead to cooler than observed EEIO SSTs and increased upwelling, shoaling the thermocline in the east. The erroneously cool EEIO SSTs and erroneously warm WEIO SSTs reinforce the erroneously strong easterlies. This is consistent with the GloSea5-GC2 precipitation, SST and winds biases shown in Figure 1. We show the ensemble mean IO vertical temperature profile averaged from  $3^\circ\text{S}$  to  $3^\circ\text{N}$  in GloSea5-GC2 compared to EN4 analysis in Figure 10. The  $20^\circ\text{C}$  isotherm is highlighted as a proxy for thermocline depth. The thermocline is slightly too deep in the WEIO, and much too shallow in the EEIO in GloSea5-GC2, also consistent with the HiGEM bias (Marathayil, 2013).

This coupled mean state bias results in errors in the representation of the IOD. The shallower thermocline makes the EEIO SSTs more susceptible to wind anomalies during IOD initiation, leading to erroneously cool SST anomalies. The erroneous SST anomalies cause errors in the anomalous circulation and Indian precipitation, which could be further exacerbated by known errors in the representation of convective precipitation over the WEIO and India (Figure 1 and e.g. Bush et al, 2015). Marathayil (2013) demonstrated that mean state wind stress corrections in the EIO decrease these mean



**Fig. 8** Regression coefficients and  $R^2$  from the five parameter JJA AIR multiple regression analysis. The dashed lines are the regression coefficients from observations, and the distributions in the solid lines show the results from many JJA series selected from the ensemble members in the GloSea5-GC2 hindcast set (Section 2.3.3).

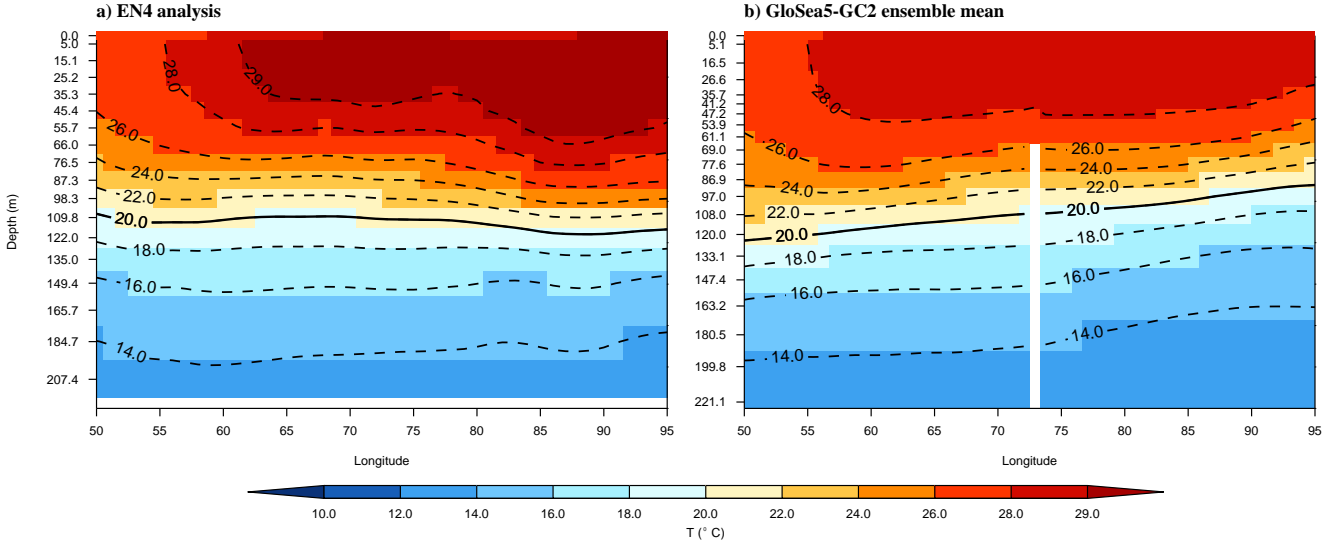


**Fig. 9** Maps of the IOD regression coefficient from the five parameter regression analysis computed at each grid point of JJA SST, land precipitation and 850 hPa winds in (a) GloSea5-GO3 analysis, GPCP and ERA-Interim and (b) GloSea5-GC2. For GloSea5-GC2, the regression is calculated for each hindcast sample and the median is taken at each grid point. The map in (a) is equivalent to the dotted line in the IOD panel of Figure 8 at each grid point and the map in (b) is equivalent to the median of the distribution in the IOD panel of Figure 8 at each grid point.



**Table 3** The regression coefficient and standard error for each independent variable in the multiple regression analysis of JJA indices with JJA AIR. The  $R^2$  value for the regression is also listed. The statistics from the multiple regression analysis of the observations, statistics from the multiple regression analysis of the ensemble mean and the median of the hindcast sample statistics (median regression coefficient and median standard error) are all shown. The final line shows only the HimTP regression coefficient and standard error from a multiple regression analysis of June indices with June AIR. The units of regression coefficients and standard errors for SST indices are  $\text{mm day}^{-1} \text{ } ^\circ\text{C}^{-1}$ . The units of regression coefficients and standard errors for the HimTP snow indices are  $\text{mm day}^{-1} \text{ cm SWE}^{-1}$ .

	Obs and Analysis	Ensemble mean	Ensemble median
Niño-3.4	$-0.82 \pm 0.21$	$-0.68 \pm 0.13$	$-0.74 \pm 0.24$
IOD	$1.22 \pm 0.26$	$0.31 \pm 0.18$	$0.31 \pm 0.28$
Atlantic	$-0.64 \pm 0.33$	$0.41 \pm 0.38$	$0.15 \pm 0.61$
TNI	$-0.10 \pm 0.09$	$-0.02 \pm 0.08$	$-0.03 \pm 0.16$
HimTP Snow	$1.45 \pm 1.62$	$-1.06 \pm 2.13$	$-0.35 \pm 3.15$
$R^2$	0.66	0.79	0.56
June HimTP Snow	$-1.54 \pm 1.21$	$-2.14 \pm 2.63$	$-2.18 \pm 4.70$



**Fig. 10** Vertical profiles of Indian Ocean temperature at the equator, averaged from  $3^\circ\text{N}$  to  $3^\circ\text{S}$ , in (a) GloSea5-GO3 SST analysis and EN4 subsurface analysis and (b) the GloSea5-GC2 ensemble mean. Each dataset is plotted on a similar set of its own levels which are listed on the y-axis. The solid line marks the  $20^\circ\text{C}$  isotherm, a proxy for thermocline depth. The white gap in the GloSea5-GC2 hindcast data is due to missing data at the location of the Andaman Islands.

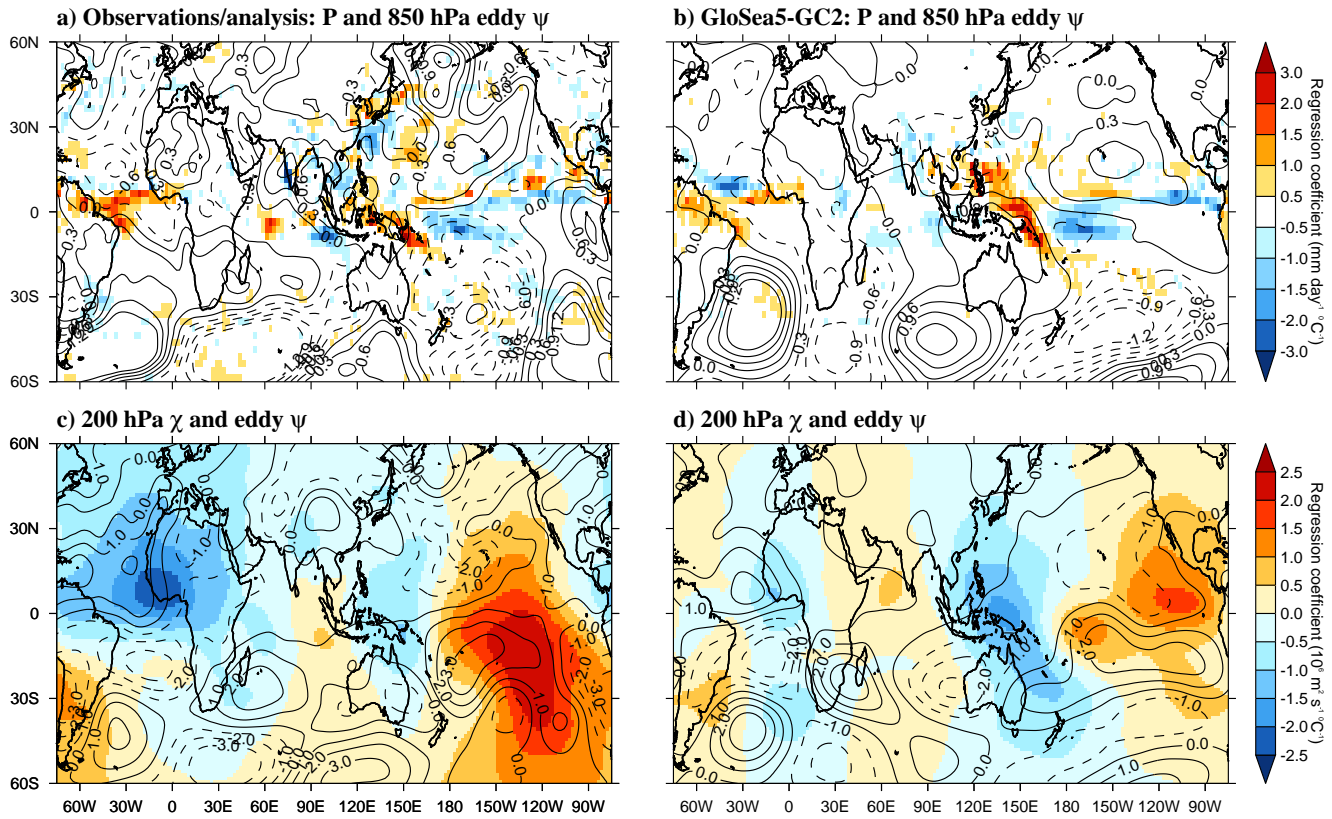
state biases and result in a better representation of the IOD SST anomalies in HiGEM. Improving this coupled mean state bias would likely improve AIR prediction skill and prediction skill in the Indian Ocean basin more broadly.

### 5.2.3 Atlantic Niño

As suggested by Kucharski et al (2007, 2008), the observations show a negative regression between the Atlantic index and AIR, indicating warm tropical Atlantic SSTs decrease AIR or, conversely, that cool tropical Atlantic SSTs increase AIR. However, the hindcast samples show a wide distribution created by the ensemble spread in GloSea5-GC2, that peaks at a slightly positive value and has tails extending to  $\pm 2 \text{ mm day}^{-1} \text{ } ^\circ\text{C}^{-1}$ . While the Niño-3.4 and IOD regression coefficients in GloSea5-GC2 have similar standard errors to the stan-

dard errors derived from observations (Table 3), the Atlantic index regression coefficient has nearly double the standard error in the hindcast samples than in the observations, indicating that the regression values are not as constrained in GloSea5-GC2 as they are in the observations. These results motivate a more detailed analysis of the representation of the mechanism linking Atlantic SST anomalies to AIR in GloSea5-GC2.

Kucharski et al (2007, 2008) use an ensemble of atmospheric GCM integrations, coupled only in the Indian Ocean, to compare experiments forced by interannually varying Atlantic SSTs with control integrations forced by climatological Atlantic SSTs. Their experiments show an equatorial Rossby wave response to Atlantic Niño anomalies which creates a quadrupole structure in upper level eddy stream function and modifies the low level circulation in the Indian Ocean (Kucharski et al, 2007, Figure 6). Cool anomalies create anomalous



**Fig. 11** Maps of regression coefficients of precipitation (shading, a and b), 850 hPa eddy stream function (contours, a and b), 200 hPa eddy stream function (contours, c and d) and velocity potential (shading, c and d) regressed against the Atlantic index in GPCP, ERA-interim and the GloSea5-GC2 hindcast samples that are within 0.05 of the observed Atlantic regression value in Figure 8. First, each grid point of each of these fields was regressed against the Niño-3.4 index. Then the residual was regressed against the Atlantic Niño index, creating the regression coefficients shown here. 850 hPa stream function contours are spaced by  $0.3 \times 10^6 \text{ m}^2 \text{ s}^{-1} \text{ } ^\circ\text{C}^{-1}$  and 200 hPa stream function contours are spaced by  $10^6 \text{ m}^2 \text{ s}^{-1} \text{ } ^\circ\text{C}^{-1}$ .

low level cyclones in the equatorial Indian Ocean on either side of the equator which increase moisture convergence and precipitation over India (Kucharski et al. 2008, Figure 3).

To determine whether this mechanism is acting in GloSea5-GC2, we regressed maps of the precipitation, 850 and 200 hPa eddy stream function, and 200 hPa velocity potential against the Atlantic index. The Kucharski et al (2007, 2008) study included the effects of ENSO in both the experiments and the control, so the effects of ENSO should be excluded from their results. To analyse as similar a diagnostic as possible, we first regress the GloSea5-GC2 fields against the Niño-3.4 index and then regress the residual against the Atlantic index. To clarify the response, we calculate the regression maps individually for 768 of the  $3 \times 10^4$  GloSea5-GC2 hindcast samples which have Atlantic regression coefficients between -0.59 and -0.69 (within 0.05 of the observed value, Figure 8). We averaged the sample regression maps to create the final maps shown in Figure 11. We also show the equivalent regression maps derived from GPCP and ERA-Interim.

As the hindcast samples were selected based on the proximity of their rainfall regression value to the observed regression value, it is not surprising that negative rainfall anomalies over India are associated with positive Atlantic SST anomalies in both GPCP and the GloSea5-GC2 samples in Figure 11. However, the smooth response of the velocity potential and the quadrupole structure in upper level stream function shown in Kucharski et al (2007) are not present in the GloSea5-GC2 hindcast samples or ERA-Interim. The low level Indian Ocean cyclones shown in Kucharski et al (2008), which would correspond to the low level anti-cyclones in Figure 11, are also missing in GloSea5-GC2. Instead, anomalous upper level divergence is seen broadly over the Atlantic and west Pacific, and upper level convergence is seen in the east Pacific and Indian Ocean, though the magnitude and pattern differ considerably between ERA-Interim and the GloSea5-GC2 samples. There is a low level anti-cyclone present over India in ERA-Interim, but it is not mirrored south of the equator. There is no clear wave-like pattern that is consistent between ERA-Interim and GloSea5-GC2 in upper or lower level



stream function. Similar maps made using all  $3 \times 10^4$  hindcast samples give similar results (not shown).

Pottapinjara et al (2014) introduced another diagnostic of the influence of tropical Atlantic SSTs on the Indian monsoon. Using NCEP reanalysis (Kanamitsu et al, 2002) and the HadISST sst dataset (Rayner et al, 2003), they correlate Atlantic SST indices with global tropospheric temperature anomaly (1000 hPa to 200 hPa) maps after the influence of ENSO has been removed from both. This reveals a Gill-type (Gill, 1980) tropospheric temperature heating response to warm SSTs in the tropical Atlantic that extends into the tropical Indian Ocean (Pottapinjara et al, 2014, Figure 10). They argue that the tropospheric temperature increase in the Indian Ocean reduces the meridional temperature gradient that drives the South Asian monsoon, reducing Indian rainfall. This is consistent with the Kucharski et al (2007, 2008) results showing cool tropical Atlantic SSTs increase Indian rainfall.

We reproduce this Pottapinjara et al (2014) diagnostic in ERA-Interim reanalysis and the 768 GloSea5-GC2 hindcast samples that agree with the observed Atlantic AIR regression coefficient and show it in Figure 12. In ERA-Interim, tropospheric temperature warming is correlated with the Atlantic index over the tropical Atlantic and Indian Ocean. However it does not extend as far into the Indian Ocean, or correlate as strongly with the Atlantic index as shown in Pottapinjara et al (2014). In GloSea5-GC2 the correlation over the tropical Atlantic is weaker and it does not extend to the Indian Ocean. The Atlantic index used in this study is different than the Atlantic index used in Pottapinjara et al (2014), but repeating the analysis with their Atlantic index does not change the results.

We conclude that the wave mechanisms described in Kucharski et al (2007, 2008) are not acting in GloSea5-GC2, even in the hindcast samples with a similar regression coefficient to the coefficient derived from observations. That ERA-Interim also does not show the mechanisms prompts questions about the validity and robustness of these mechanisms. Kucharski et al (2007, 2008) study 1950 to 1999 and Pottapinjara et al (2014) study 1979 to 2012, so it is possible that decadal variability has altered or obscured this mechanism in the 1992 to 2011 time period we analyse here. Further study of the Atlantic Niño-AIR teleconnection and its variation over time is needed to unify these results.

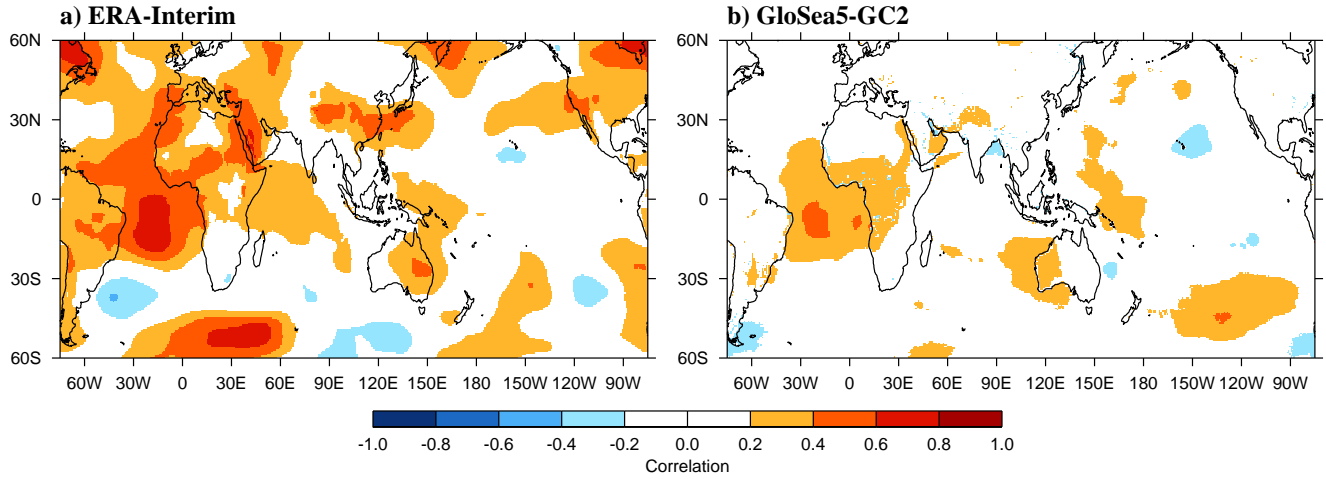
#### 5.2.4 HimTP snow

Turner and Slingo (2011) and Senan et al (2015) show using experiments that initialise anomalous snow on April 1, that increased HimTP snow cover reduces sur-

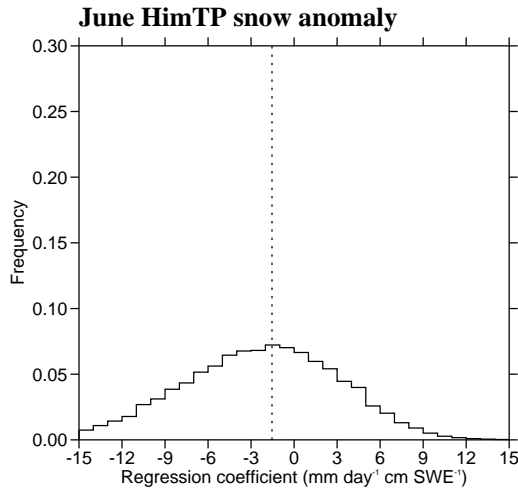
face sensible and long wave heating as proposed by Blanford (1884), which delays the onset of the monsoon and significantly reduces monsoon rainfall in June. In these experiments, snow anomalies persist from April through June. The snow anomalies' impact on June monsoon rainfall combines two effects: the effect previous, spring snow cover had on the tropospheric temperature gradient that initiated the monsoon and the effect current, June snow cover has on current surface temperatures and radiative balances. In order to consider ensemble members from all initialisation dates in the GloSea5-GC2 hindcast set as one ensemble, we must analyse the impact of snow anomalies at a time sufficiently removed from the hindcast initialisation dates. Consequently, we do not consider snow before June in this analysis. This means we only analyse the relationship between summer snow cover anomalies and monsoon rainfall anomalies. For consistency with our JJA analysis, we initially examine the relationship between JJA snow anomalies and JJA rainfall anomalies, but later in this section we examine the relationship between June snow anomalies and June rainfall anomalies, where we would expect to see a larger impact.

In the observations, HimTP snow shows a positive regression with AIR in JJA. This is the opposite of the expected relationship via the Blanford mechanism (Blanford, 1884). A  $1\sigma$  variation in JJA HimTP snow cover results in an increase of  $0.1 \text{ mm day}^{-1}$  in JJA rainfall (using Tables 2 and 3), indicating almost no relationship between JJA HimTP snow and JJA AIR. The hindcast samples are consistent with this lack of relationship.

However, Turner and Slingo (2011) showed that the main impact of HimTP snow on AIR is in June, and its relationship with June precipitation may not be strong enough to be detectable in JJA precipitation. To test the representation of the relationship in June, we repeated the entire multiple regression analysis with June indices and, in Figure 13 and Table 3, we show the HimTP snow regression coefficients. The June regression derived from observations is indeed negative, but roughly the same magnitude as the JJA regression. June snow in ERA-Interim/Land has a higher interannual standard deviation,  $0.21 \text{ cm SWE}$ , than JJA snow, so  $1\sigma$  variation in June snow leads to a slightly larger impact on June rainfall,  $0.3 \text{ mm day}^{-1}$ . The hindcast samples have a broad distribution, peaking at the observed value, suggesting GloSea5-GC2 is correctly representing this small negative impact current snow cover has on June Indian rainfall.



**Fig. 12** Correlation of the JJA tropospheric temperature anomaly (averaged from 1000 to 200 hPa) with the JJA Atlantic SST index, after a regression against Niño-3.4 has been removed from each. a) ERA-Interim. b) Average correlation of the GloSea5-GC2 hindcast samples that are within 0.05 of the observed Atlantic regression value in Figure 8.



**Fig. 13** HimTP snow index regression coefficients in the five parameter June multiple regression analysis. The dashed line is the observed value, and the distribution in the solid line shows the results from many June series selected from the ensemble members in the GloSea5-GC2 hindcast set.

### 5.3 Forward selection

To assess the importance of each of these indices to this regression, we use forward selection (Section 2.3.2). In this technique, indices are each regressed separately against AIR. The index with the highest  $R^2$  value is then regressed against AIR in combination with each of the remaining indices in turn. The process is repeated until all of the indices are included as independent variables in the regression. The ordering of the indices and the increase in  $R^2$  as each index is added, reflect the importance of the index in explaining the interannual variability of AIR.

In both the observations and GloSea5-GC2, the Niño-3.4 and IOD indices are most important in explaining the interannual variability in AIR over the hindcast period. Their combined  $R^2$  values are 0.53 and 0.46 in the observations and hindcast samples, respectively, compared to  $R^2$  value when all five indices are included of 0.66 and 0.56 (listed in Tables 3 and 4). The remaining three indices add similar, smaller contributions to the  $R^2$  in observations and GloSea5-GC2. This means it is difficult to separate them in order of importance, and we consequently focus on the differences in  $R^2$  for the Niño-3.4 index and the IOD index.

In Table 4, we summarise the results of the forward selection for the Niño-3.4 and IOD indices. In the observations, the IOD index explains most of the variance in AIR, with a single  $R^2$  of 0.27, while in GloSea5-GC2, Niño-3.4 explains most of the variance with a single  $R^2$  of 0.39. The two indices are similarly correlated with each other in the GloSea5-GC2 analysis (0.33) and the GloSea5-GC2 ensemble mean (0.28), indicating the relationship between ENSO and the IOD is consistent between the observations and GloSea5-GC2. The combined results from the forward selection and multiple regression analysis suggest that the weakness of the relationship between AIR and the IOD causes AIR to respond too consistently to ENSO anomalies in GloSea5-GC2, as seen in other forecast systems (Kim et al, 2012), and consequently Niño-3.4 explains too much of the variance in AIR in GloSea5-GC2 and the IOD index explains too little. If the relationship between AIR and the IOD were correctly represented, it would at times reinforce the AIR anomaly forced by ENSO, and at times counteract that anomaly, leading to a weaker overall correlation between ENSO and AIR and less

**Table 4** Summary of results from forward selection.  $R^2$  for a single regression of Niño-3.4 or the IOD index against AIR is shown in the first two rows, the  $R^2$  for the combined regression of both indices against AIR is shown in the third row.

	Observations and Analysis	Hindcast sample median
Niño-3.4	0.10	0.39
IOD	0.27	0.02
Niño-3.4 & IOD	0.53	0.46

interannual variability explained by ENSO, consistent with the observations.

## 6 Discussion and Conclusions

We have assessed the seasonal prediction skill of summer all-India rainfall (AIR) and the representation of mechanisms contributing to predictability of AIR in the GloSea5-GC2 coupled ensemble seasonal forecast system. GloSea5-GC2 has notable mean state biases, including equatorial SST cold biases in all basins. The Indian Ocean has the lowest JJA precipitation and circulation signal-to-noise ratios and prediction skill in the tropics, consistent with other state-of-the-art seasonal forecast systems (Rajeevan et al, 2012).

GloSea5-GC2 has moderate skill in predicting JJA AIR ( $0.41$ ,  $p < 0.1$ ). However, it has much higher skill in predicting the large scale circulation ( $0.66$  for the Webster-Yang dynamical index,  $p < 0.01$ ), consistent with other forecast systems. ENSO, the most widespread mode of interannual SST variability, and the relationship between ENSO and AIR are well represented in GloSea5-GC2. This indicates that the AIR interannual variability related to the large-scale circulation in GloSea5-GC2 is well represented. However, the basin-scale relationship between AIR and the IOD is weak in GloSea5-GC2. Our analysis showed this likely due to a coupled mean state bias in the Indian Ocean which alters the amount of anomalous SST cooling/warming that results from anomalous wind forcing, giving erroneous IOD SST anomalies. Known difficulties in representing convective precipitation over India may also play a role (e.g. Bush et al, 2015). Due to the lack of response to the IOD, AIR responds more consistently to ENSO in GloSea5-GC2 than in observations, which manifests itself in an erroneously high correlation between ENSO indices and AIR.

Our analysis did not show a teleconnection from the tropical Atlantic Niño region to the Indian subcontinent in GloSea5-GC2. However, when analysed over the time period available from the GloSea5-GC2 hindcast set, this teleconnection was not clear in ERA-Interim

either. This suggests further work is needed to confirm the validity and establish the robustness of the Kucharski et al (2007, 2008) mechanism connecting the the Atlantic Niño region to AIR. Our analysis also indicated the response of June Indian rainfall to June HimTP snow anomalies in GloSea5-GC2 agrees with observations, but is small in both.

Due to the relatively few years in our hindcast set, we analysed all years in our hindcast set together, rather than studying years with an especially strong anomaly in a given index, such as ENSO events. In twenty years there are only a few events of any type, so analysis of strong anomaly years would be very dependent on the GloSea5-GC2 performance in a few individual years. However, A limitation of our analysis is that our general conclusions may not apply to an individual year. For example, we cannot conclude from our analysis that the 1997 forecast bust is necessarily due to a misrepresentation of the IOD-AIR relationship rather than a misrepresentation of the ENSO-AIR relationship. We can conclude that the IOD-AIR relationship is generally misrepresented in GloSea5-GC2, and improving it will improve forecast skill over the hindcast period as a whole, independent of whether it improves forecast skill in a specific year such as 1997.

In agreement with our analysis, recent assessments of seasonal forecast skill have generally found that ENSO anomalies and the response of AIR to the ENSO anomalies are well represented in GCMs (Kim et al, 2012; Rajeevan et al, 2012; Nanjundiah et al, 2013). The representation of the relationship between AIR and the IOD is increasingly recognised as a source of error. Consistent with our analysis of the coupled Indian Ocean SST/wind bias, Rajeevan et al (2012) showed in the ENSEMBLES and DEMETER samples of coupled seasonal forecast systems that air-sea coupling in the Indian Ocean basin is too strong. Nanjundiah et al (2013) studied five coupled seasonal forecast systems from the ENSEMBLES sample and found that the relationship between AIR and the equatorial Indian Ocean zonal wind anomalies is generally poorly represented.

In GloSea5-GC2, the application of mean state bias correction techniques to reduce the error in circulation and equatorial SSTs in the Indian Ocean may improve both the representation of IOD anomalies, as Marathayil (2013) showed for the coupled GCM HiGEM, and the relationship between the IOD and AIR. As the IOD is the major mode of interannual variability in the Indian Ocean, we expect that an improved representation of the Indian Ocean mean state and the IOD would have a significant impact on precipitation and circulation seasonal prediction skill in the Indian Ocean (Fig-

ure 3), and would likely improve AIR prediction skill as well.

Conditions in the equatorial Indian Ocean are important for the correct initiation and propagation of the boreal summer intraseasonal oscillation (e.g. Sperber and Annamalai, 2008). The propagation and amplitude of the BSISO are weak in GloSea5-GC2 (Jayakumar et al, 2016). Given the similarity in pattern between the leading mode of interannual variability in monsoon circulation and a component of the intraseasonal variability, and that the frequency of occurrence of this intraseasonal variability projects onto interannual variations (Sperber et al, 2000), poor simulation of Indian Ocean intraseasonal variability may also therefore impact on the skill of interannual rainfall prediction. Further analysis should address the relationship between errors in the Indian Ocean mean state, the IOD and intraseasonal variability in seasonal forecast systems.

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